



CONFEDERATED TRIBES OF THE GRAND RONDE COMMUNITY OF OREGON

c/o Trautmann, Maher & Associates
 Minor Trust Administrator for the Confederated Tribes of Grand Ronde
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RABBI TRUST FUND and MINORS' CUSTODIAL TRUST FUNDS INVESTMENT RISK ASSESSMENT RECOMMENDATION FORM

This form only needs to be completed and submitted to TMA by no later than 5:00 p.m. Friday, May 17, 2013 if:

- You wish to change your minor's current investment option, or
- Your minor was enrolled after the last election period deadline (May 14, 2012)

(Please submit a completed form for each minor member under age 21)

SECTION I. PERSONAL INFORMATION (please print clearly)

Minor's Name: (First) _____ (MI) _____ (Last) _____

Phone #:

Tribal Enrollment #:

SECTION II. INVESTMENT RECOMMENDATION: I select the following option because I have determined that it provides the most appropriate level of expected return and risk for the minor. (choose one – selection will apply to both Trusts)

- Option 1: Age Based Allocations** (the expected return and risk vary over time for this option)
- Option 2: Fixed Allocation / Low Risk / No Stock / All Ages** (most conservative fixed option)
- Option 3: Fixed Allocation / Stocks 20% / Fixed Income 80% / All Ages** (less conservative than Option 2)
- Option 4: Fixed Allocation / Stocks 40% / Fixed Income 60% / All Ages** (less conservative than Option 3)
- Option 5: Fixed Allocation / Stocks 60% / Fixed Income 40% / All Ages** (least conservative option)

SECTION III. ACKNOWLEDGEMENT

By your signature below, you understand the following:

- a) Your investment recommendation under Section II cannot be revoked or changed until May 1 of next calendar year and such revocation or change will not be effective until the following June 1.
- b) The balance in your account will be credited with income or loss based on the investment option you have recommended under Section II.
- c) This recommendation will apply to all funds held in the Rabbi Trust Fund and/or Minors' Custodial Trust Funds, held for the benefit of the minor member identified in Section I.
- d) If no recommendation form is completed, funds held for the benefit of the minor member identified in Section I will be invested in the default election, which is Option #1 – Age Based Allocations.

This form supersedes any prior investment recommendations with regard to the Rabbi Trust Fund and/or Minors' Custodial Trust Funds.

Please allow adequate time for delivery of this form, which can be provided to Trautmann, Maher & Associates via regular mail, fax or email (mailing address, fax number and email address are noted above). Note that any forms submitted via email must contain an actual signature.

This form must be signed by members age 18 or older, or if the member is a minor under age 18, or incompetent, by their parent or guardian/custodian. Without a signature below, the recommendations on this form will not be processed.

Signature of Member Parent Guardian Other _____

Date: _____

Parent/Guardian/Custodian's Name (if applicable): (First) _____

(MI) _____

(Last) _____

If you have any questions concerning this form, please contact:

Member Benefits Department at Confederated Tribes of the Grand Ronde Community of Oregon at **800-422-0232**.