

Regulatory Activities Report: Fourth Quarter 1997

~ MISSION STATEMENT ~

The mission of the Grand Ronde Gaming Commission is to exercise all powers necessary to protect the tribal gaming industry, its assets and the gaming public, to ensure and maintain the honesty, fairness, integrity and security of gaming operations.

During the fourth quarter of 1997, the Commission's agenda as were less demanding; however, extra hearings and meetings were required to handle patron dispute appeals. Additionally, the Commission held meetings with representatives from the State of Oregon regarding the development of procedures governing the calculation of the State Police's annual assessment. During the quarter, the Commission acted on 134 new employee gaming licenses and 118 renewals. Of the applications for renewal, all were approved. Of the new applications, 125 were approved, 3 denied and 6 referred back to staff for additional investigation. During the quarter, the Commission revoked 1 gaming license.

The following is a recap of the employee licensing decisions for calendar 1997:

New Applications	681
Approvals	657
Referred Back To Staff	12
Denied	12
Renewal Applications	682
Approvals	682
Revocations	6

In addition to agenda items pertaining to personnel licensing applications, the Commission's agenda also included the following items relating to the licensure of Major, Minor and Sensitive Procurement Contractors:

OCT. 17, 1997 GENERAL SESSION MEETING

1) **ZDI Gaming, Inc.** (A Washington Corporation). Approved application submitted by staff to review the licensee's compliance with terms of original licensure. Commission approved the request to review compliance and modified the licensee's conditions.

2) **Aristocrat, Inc.** (A Nevada Corporation). Approved an application for renewal of a Major Procurement Contractor License until the Commission's regularly scheduled General Session Commission Meeting in December 1997.

NOV. 24, 1997 GENERAL SESSION MEETING

1) **Paul-Son Gaming Supplies, Inc.** (A Nevada Corporation). Approved an application for renewal of a Sensitive Procurement Contractors Gaming License. Said licensure was limited to the Commission's regularly scheduled General Session Meeting in November 1998.

2) **GameTech International, Inc.** (An Arizona Corporation). Approved an application seeking a 120 day Temporary Major Procurement Contractor License until the Commission's regularly scheduled General Session Agenda in March 1998.

3) **ZDI Gaming, Inc.** (A Washington Corporation). Approved an application seeking renewal of licensure as a Major Procurement Contractor License until the Commission's regularly scheduled General Session Agenda in February 1998.

4) **Wolf Gaming, LLC.** (A Delaware Limited Liability Company). Approved applicant's request to withdraw application seeking licensure as a Major Procurement Contractor.

DEC. 19, 1997:

1) **Aristocrat, Inc.** (A Nevada Corporation). Approved an application seeking renewal of licensure as a Major Procurement Contractor until April 1998.

REGULATION CHANGES:

The Gaming Commission is continuing to refine the Regulations. The following modifications, amendments and additions were approved by the Commissioners during the fourth quarter of 1997.

GENERAL SESSION AGENDAS:

OCTOBER 17, 1997

1) **REGULATION 14.300. Wide Area Progressive Video Lottery Terminal/Network.** A change to the regulation was approved by the Commission to clarify the regulation.

2) **MINIMUM INTERNAL CONTROL STANDARDS. Craps.** A change to the MICS was approved by the Commission to allow the licensee the latitude of determining at what time during the 24 hour day dice are to be removed from play.

3) **MINIMUM INTERNAL CONTROL STANDARDS. Craps.** A change to the MICS was approved by the Commission to provide the licensee with the latitude of canceling dice and disposing of them as promotional items.

NOVEMBER 24, 1997

1) **GAMING REG. NO. 24. Blackjack Game.**

24.025 **Blackjack Game Drop Box Procedures.** A change to the gaming regulation was approved by the Commission to provide the licensee the option of using a three slotted drop box needed to convert to a once per day drop.

2) **GAMING REG. NO. 24. Blackjack Game.**

24.050 **Maximum Table Bet Limits.** A change to the gaming regulation was approved by the Commission to provide greater latitude to the licensee in making decisions regarding the competency of employees to deal and supervise blackjack games.

3) **GAMING REG. NO. 31. Certain Cash Transactions.** A change to the gaming regulation was approved by the Commission to correct the report name and clarify the licensee's responsibility when filing a Suspicious Activity Report.

4) **MINIMUM INTERNAL CONTROL STANDARDS. Table Games.**

Table Drop Standards. A change to the MICS was approved by the Commission to enable the licensee to go to a three slotted drop box that only needs to be dropped once a day instead of each shift.

5) **MINIMUM INTERNAL CONTROL STANDARDS. Electronic Gaming Devices/Slots.**

Video Lottery Terminal (VLT) Paper. A change to the MICS was approved by the Commission to bring the MICS into congruity with the Tribe/State Compact's minimum standards.

DECEMBER 19, 1997

1) **GAMING REG. NO. 3. Licensing Qualifications.** 3.010 **Declaration of Authority.** A change to the gaming regulation was approved by the Commission to recognize the Commission's authority to set conditions regarding its obtaining and consideration of information which may have been received from confidential sources.

2) **GAMING REG. NO. 3. Licensing Qualifications.**

3.020 **Tribal Class III Gaming Facility License.** A change to the gaming regulation was approved by the Commission to recognize the Commission's authority to set conditions regarding its obtaining and consideration of information which may have been received from confidential sources. Additionally, the proposed amendment is to set out specific procedures for keeping such information sealed in the file.

3) **GAMING REG. NO. 3. Licensing Qualifications.** A change to the gaming regulation was approved by the Commission to recognize the Commission's authority to set conditions regarding its obtaining and consideration of information which may have been received from confidential sources.

4) **GAMING REG. NO. 3. Licensing Qualifications.** 3.030 **Primary Management Official, High Security Employee, Low Security Employee and Temporary Student Intern.** A change to the gaming regulation was approved by the Commission to recognize the Commission's authority to set conditions regarding its obtaining and consideration of information which may have been received from confidential sources.

5) **GAMING REG. NO. 3. Licensing Qualifications.** 3.040 **Major procurement, minor procurement and sensitive procurement contracts.** A change to the gaming regulation was approved by the Commission to recognize the Commission's authority to set conditions regarding its obtaining and consideration of information which may have been received from confidential sources.

6) **GAMING REG. NO. 4. Application procedure.** 4.010 **Application General.** A change to the gaming regulation was approved by the Commission to recognize the Commission's authority to set conditions regarding its obtaining and consideration of information which may have been received from confidential sources.

7) **GAMING REG. NO. 4. Application procedure.** A change to the gaming regulation was approved by the Commission to recognize the Commission's authority to set conditions regarding its obtaining and consideration of information which may have been received from confidential sources.

8) **GAMING REG. NO. 4. Application procedure.** 4.040 **Applications, Notices, Statements And Reports; Contents; Amendments; Incorporation By Reference; Proceedings Not To Have Substantive Implications.** A change to the gaming regulation was approved by the Commission to facilitate Commission staff's distribution of notice of the Commissioner's decisions relating to licensing decisions and regulatory rule enactments.

9) **GAMING REG. 5B. Video Lottery Terminals.** 5b.060 **Video Lottery Terminal Location Numbers.** A change to the gaming regulation was approved by the Commission to amend the regulation to be consistent with industry standards.

10) **GAMING REG. NO. 14. Manufactures, Distributors, Gaming Devices, New Games and Associated Equipment.** 14.290 **Control Over Video Lottery Terminals.** A change to the gaming regulation was approved by the Commission at the request of the licensee. It was determined that the requested change would have no significant impact upon the integrity of the gaming operation.

11) **MINIMUM INTERNAL CONTROL STANDARDS. Table Games. Soft Count Standards.** A change to the gaming regulation was approved by the Commission correct a contradiction contained within the MICS.

12) **MINIMUM INTERNAL CONTROL STANDARDS. Electronic Gaming Devices/Slots.** A change to the gaming regulation was approved by the Commission to clarify the requirements for jackpot payouts.

COMMISSION MEETINGS:

Unless otherwise rescheduled, the Commission's General Session Meetings are held the third Friday of each month. Meeting location is the Grand Ronde Room of Spirit Mountain Casino's Human Resources Building located at 28840 Salmon River Highway in Grand Ronde. The meetings start at approximately 1:30 PM and are open to the public.

COMMISSIONERS:

Chair: Robert Watson
Vice Chair: Lynn Hillman
Secretary: Leonette Galligher
Members: Gene Davidson
Bill Miles